

### Presenters Stacey Gerding, M.S., CCC-SLP, Associate Professor, Assistant Director of Clinical Education Krista A. Beyrer, M.A. CCC-SLP, CBIS, Clinical Professor, Director of Clinical Education, SLP





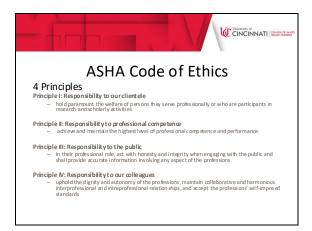
- 1. Participants will discuss benefits, challenges, considerations and requirements in fostering strong ethical relationships with students.
- 2. Participants will apply knowledge of ethical supervision and provide possible solutions to case scenarios.
- 3.Participants will describe the inherent power dynamics in the supervisor/supervisee relationship and identify ethical and appropriate boundaries in supervision.



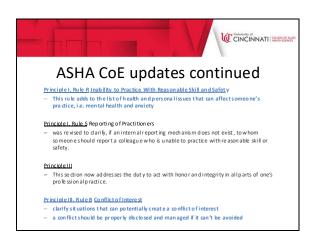


The American Speech Language Hearing Association (ASHA) Code of Ethics

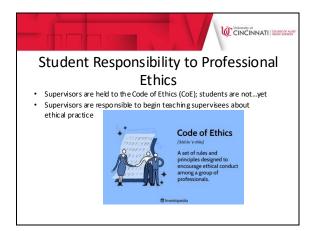
- reflects professional values and expectations for scientific and clinical practice
- $\bullet\$  is based on principles of duty, accountability, fairness, and responsibility
- is intended to ensure the welfare of the consumer
- protects the reputation and integrity of the professions

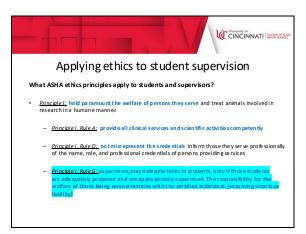


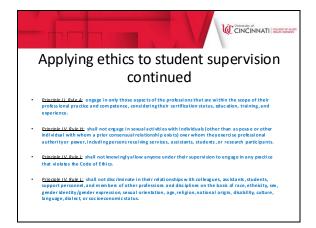
















# Benefits in supervising Interact with students who have access to current research and resources Student assists supervisor in further development of clinical skills by mentoring others and evaluating 'why they do what you do' Supervisor develops mentoring skills in observing, providing feedback and fostering independence Provides satisfaction for the supervisor in knowing that they are directly contributing to the future of the profession Benefits the agency as a mechanism for future employees Benefits current and future clients by providing high-quality

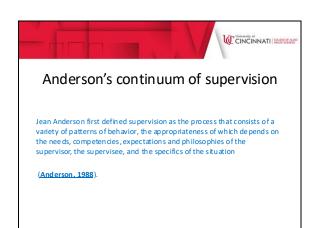
care and fostering competence in future professionals



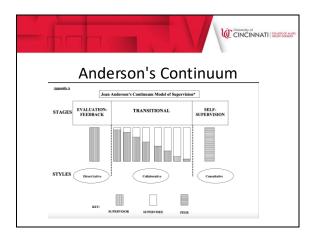


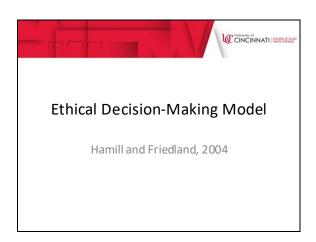
-	CINCINNATI (Statement
Su	pervisors: necessary skills and qualities
Job-relate	
	ical knowledge base
-	plication of evidence-based procedures and techniques
	w le dge of clin ical setting, policie sand procedures, and client-base
Kno wledge	e of adult learning styles
Self-reflec	tion of supervision style and Anderson's continuum or other supervision model(s)
	process for having stude nts, including orientation/onboarding, training, gradual release ibilities and providing objective feedback
Engage in	a team approach to dient care and collaboration
Per son al a	nd professional skills:
Desire to r	nen tor and foster confidence in young clinicians
Will in gn es	s to embrace the differences each student internbrings to the setting
Und erstan	d ing of e thical supervision guidelines
Active liste	ning skills
(ASHA 201	3)

# Supervisory role - Supervisor is accountable for the supervisee's actions and clinical performance. - Supervisor provides in struction, feedback, conducts performance evaluations, and documents professional behavior and clinical performance. - Supervisor is responsible for fostering clinical and professional skill development.









## Ethical case scenario • You are the clinical instructor at a busy outpatient facility. Your productivity expectation is 88%. You have a greed to accept a graduate student starting soon. • Student is in year 2 of 2-year MA SLP program. • Has taken all required core coursework • Has had 4 clinical placements, 3 part-time in year 1, 1 full-time previous semester • Plans to graduate in 2 semesters • You are excited about having a student because once they get comfortable, you can double book clients, and the student can help you slowly address your waiting list. • On day 1, you meet with the student and allow 2 days of shadowing/observation.

On day 3, you designate the patients for which that the student will be assuming

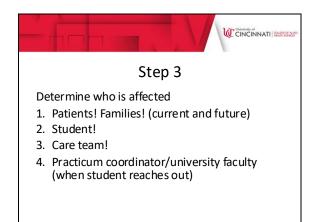
responsibility.

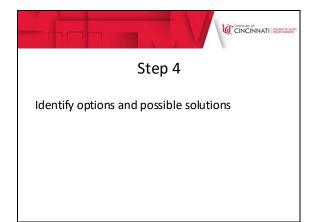
### Let's consider our Code of Ethics... • Is there an ethics violation/boundaries issue? • Why or why not? • Examine a model for ethical decision-making

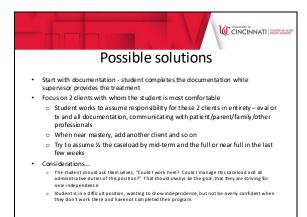
## Hamill & Friedland • Step 1: Identify the problem • Step 2: Assess legal/ethical/boundary issues • Step 3: Determine who is affected • Step 4: Identify options and possible solutions • Step 5: Reflect (Hamill and Friedland, 2004)

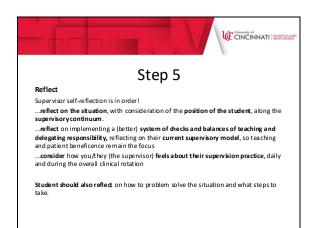
# Step 1 Identify the problem(s) 1. Transferring caseload day 3 – delegate responsibly (PI:G) 2. Double-booking (what about supervision requirements? Medicare guidelines?) 3. Ensuring student feels prepared prior to engaging with clients (PI:G) 4. Hold paramount the welfare of clients (best practice?) (PI)

# Step 2 Assess legal/ethical issues 1. Violating several aspects of the CoE 2. Ethical service provision 3. Delegation of caseload and responsibility 4. Patient beneficence















Types of	f Social Power
• Expert • Reward	Students prefer expert, reward, and referent power
<ul><li>Referent</li><li>Legitimate</li></ul>	Prefer legitimate power to a lesser extent
• Coercive	And, they don't prefer coercive power (Wagner, 1997)

# When Power Goes Awry... Themes of bullying, incivility, and intimidation: Communication Physical and psychological effects Interpersonal dynamics Clinical instruction Lingering psychological and physical effects Manicelli and Kneavel 2021 study, ASHA Perspectives

Theme	Exemplars from participants' interviews
Communication	"not capable"  "In hurrisiding"  "Dise was depracing man."  "She commended on my old high and a learning disability."  "She commended on my oldning."  "She wouldn't acknowledge my positive performance."  "I did not discuss an education plant."
	"She made me feel stupid." "I would say it was more intimidation than it was bullying."
Physical and psychological effects	"It became unbearable because I was losing sleep. I was getting five hours of sleep a right."
	"Panic attacks."
	"I felt hopeless." "aust made my anxiety sky-rocket."
	"She raised her voice and embarrassed me in front of clients." "It messed with my confidence."
	"As I was kind of getting depressed."  "I lust had to get though it."
Interpersonal dynamics	"I felt off-balance."  "I felt afe wasn't connecting with me on a personal level."
interpersonal dynamics	"She never made me feel comfortable."
	"I don't appreciate these bullying tactics." "We just weren't gelling."
	"I felt like I was being mistreated. I wasn't being treated with dignity and respect."
	"Our personalities didn't mesh." "She likes control."
Clinical instruction	"I'm very sensitive." "There's weak clinical teaching."
	"She didn't provide full explanations. 'That's just how we do it." "If I asked why you don't do it this way, the answer was 'because
	you just don't." "I didn't feel like I had support from her."
	"It was difficult to determine how I could improve."



- On the supervisor to:
  - Communicate effectively with mentee
  - Grow critical thinking
  - Provide feedback
  - Offer mentorship and supervision
- On the student to:
  - Receive and integrate feedback (Mancinelli and Kneavel, 2021)



### Example Scenario

- Melissa, a supervising SLP, and Jane, a graduate clinician, work in a school with a shared office with OT and OT's student teacher. The school serves PK through 5<sup>th</sup> grade, with Melissa and Jane's caseload consisting primarily of PK.
- Jane, the student, is wearing jeans for the second time this week.
   Melissa does not think jeans look professional and is annoyed that her student is not taking the dress code seriously.
- Melissa says nothing the first time Jane wears jeans, but the second day that she wears jeans, Melissa confronts Jane in the office at the start of the day, "I was going to let it go the first time, but since you did it again, I have to tell you you can't wear jeans to work. It's so unprofessional"



### Jane's external reaction

- "Oh, sure, thanks for letting me know, Melissa!"
- Jane goes about her day and never wears jeans to her placement again.

### Jane's internal reaction and subsequent actions

- Jane feels incredibly embarrassed. The fact that Melissa called her unprofessional in front of OT and the OT student teacher makes her feel humiliated.
- Inher previous clinic placement at Headstart, jears were acceptable clinic wear. Her old supervisor said "when you're on the floor as much as we are, you just need to be comfortable."

  She spends the whole day at her school placement feeling awkward and
- She spends the whole day at her school placement feeling awkward and ashamed. She would never have worn jeans if she knew! The OT student teacher looks at her sympathetically.
   From this point on, Jane feels guarded around Melissa, afraid she will get
- From this point on, Jane feels guarded around Melissa, afraid she will get scolded in front of others again. She is less present in her sessions, feels a higher level of baseline anxiety, and more defensive anytime Melissa offers her advice.

### CINCINNATI COLOR OF MICH.

### Melissa's perspective

- Melissa was trying to be flexible by not saying anything the first time Jane wore jeans to clinic
- Melissa wanted to teach her student the importance of professionalism in the workplace
- From her perspective, she said something and the problem was solved



### Hamill & Friedland Problem Solving Steps

- Step 1: what's the problem here?
- Step 2: what kind of power was exerted?
- Step 3: who is impacted?
- Step 4: problem solve where to go from here?
- Step 5: reflect prevention? Changes for next time?



### University of CINCINNATI COLLEGE OF ALLES **Boundaries in Supervision**

- When building relationships with our supervisees, we need to be mindful that we are creating appropriate boundaries
- Boundaries exist to maintain a professional relationship



### Dual / Multiple Relationships

- One challenge to boundary formation occurs when multiple relationships exist
- · Need to be mindful and aware of these multiple relationships

### CINCINNATI COLLEGE OF ALLE Types of multiple relationships • Circumstantial or coincidental relationships • Structured multiple professional roles • Shifts in professional roles

- Personal and professional roles
- Predatory professional relationships

(Heuer and Holbrook, 2015)



### Multiple Relationship Scenario

- David is studying to be an SLP. His mom's best friend is an SLP in the local school district. He's known her since he was a child. Their families take vacations together in the summer.
- David's mom asks her best friend if she could supervise David for his school placement.



### Questions

- Step 1 What's the problem here? What kind of multiple relationship is this?
- Step 2: Assess ethical issues:
  - Is there any conflict?
  - If so, what could be potential conflicts?
- Step 3: Who is affected?
  - Would you want to be the SLP supervising your best friend's child?
- Step 4: What to do? How should SLP respond? Other possible solutions?
- Step 5: Reflect putting guidelines and policies in place



ASHA Ethics Principle III. Rule B: Individuals shall avoid engaging in conflicts of interest whereby a personal, professional, financial, or other interest or relationship could influence their objectivity, competence, or effectiveness in performing professional responsibilities. If such conflicts of interest cannot be avoided. proper disclosure and management is required.

- Communicate
- Be open about the possible conflicts
- In general, refrain from supervision of friends, family, or other personal connections



### Note about SLPA / Grad Student dual relationship

- Question 6: Can a graduate student work as an audiology or speech-language pathology as sistant while in graduate school? The roles and responsibilities of a graduate student in a practicum
- assign ment and an assistant in the work setting must not be confused... Graduate students who are also working as assistants, as well as their supervisors, must exercise caution so that the roles are not confused.
- This can be especially difficult if the student is given a practicum assignment in his or her works etting. Because of the potential for confusion of roles, the student should work closely with the academic program, his or her employer, and his or her supervisor to clearly delineate when the individual is working as an assistant and when the individual is accruing clock hours as a graduate student. Only then can appropriate assignments and su pervision be determined. (ASHA, 2017)



### **Boundary Crossings**

- · Crossing the professional line
- · And then, importantly, returning to established
- · Can be intentional or unintentional
- · Based in actions

### **Boundary Violations**

- · More severe, usually intentional
- Cause harm (physical, mental, emotional) (Smith et al, 2009)



### The Slippery Slope

- Boundary crossings and violations rarely move from a functional to dysfunctional relationship overnight
- But, over time, boundaries can become more blurred if the supervisor/supervisee do not exercise healthy respect for limits on the relationship

### University of CINCINNATI COLLEGE OF ALLED HEALTH SCENCES **Audience Examples** • Can you think of a supervisory situation that involves: Tell us about a supervisory situat ion involving ethics/power dyn o Ethics amics and/or boundaries. $\circ \ \text{Power dynamics}$ o Boundaries



### news?!

- Of course, we want to have professional relationships
- But, forming a strong bond with our students is not outside of the question!
- The key is balance

### University of CINCINNATI COLLEGE OF ALLE

### **Supervisory Relationship**

- The relationship between supervisee and supervisor is one of the most important pieces of effective supervision
- If the relationship between supervisor and supervisee is poor, supervisee is more likely to experience increased anxiety, stress, burn out, role conflict

(DePue et al., 2016; Beinart & Clohessy, 2017)



- "Clear is Kind" -Brene Brown
- Be proactive in setting expectations early
  - What can the student expect from you?
  - What do you expect from the student?

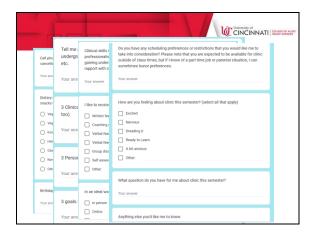


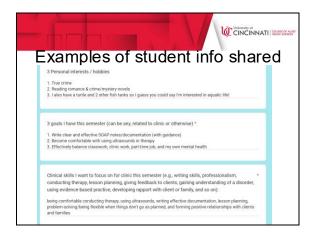
- At the outset of your time together, share with your student what your expectations are:
  - How will they be evaluated, and how often
  - What are your expectations of them
  - What can they expect from you?
  - Particulars of the setting that you are in



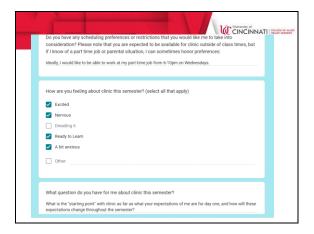
CINCINNATI (States See	ALLED ICES
Example expectations: practicum commitment form	
3. Regular attendance is expected. Read and initial below.  I understand that structures are professionalisms will impact my grade and in at the discretion of supervisor practicum confinently Devetor of Clinical Education.  Potential days off from practicum might neduler religious bildays, professional conferences/coverations, and required department activities under a Plancy Friday (uping sementer), and PRASIS (uping sementer).  A request for 'days off' is reserved for illusts or extemniting circumstances ONLY, such as a death in the student's immediate family.  A doctor's excuse must be provided for all illusus-related absences.  You discret practicum supervisor (the supervisor who will appeare your classical hours and competencies in the setting is the present to bem) only our request including our cent should be addressed with additional notifications to practicum; confining or the practicum of the competencies in the setting is the present to be more your pregnant and Desecte of Clinical Education for on-empty programs.  The other int required to make up ministrately only now a reset from formation, and in the supervisor in the practicum; commitment at the beginning of the term or following an absence from illness.  Clinical practicum dates times are subject to alse, may vary site-to-site and may not correlate with course schedule or university breaks.	
I have read the Essential Requirements degrament and the Code of Ethics and will be held accountable and will follow these guidelines and principles.  _ there shared my learning style, clinical experiences to-date, and pertinent info, regarding clinical strengths areas of growth with my supervisor.  Please list known obligation or conflict with schedule designated above in #1 and #2.	



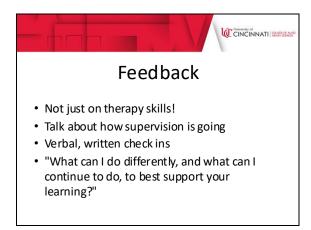














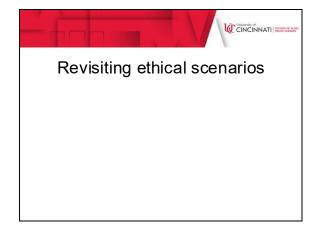
- One additional way to build the supervisory relationship is through disclosure
- Self-disclosure is sharing information with a supervisee that they would be unlikely to know
- · Serves to normalize supervisee experiences (Clevinger et al., 2019)



- What do you do to:
  - o Make expectations clear
  - o Prevent some of the challenges discussed/presented







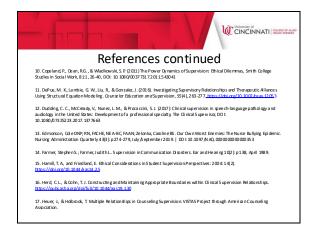


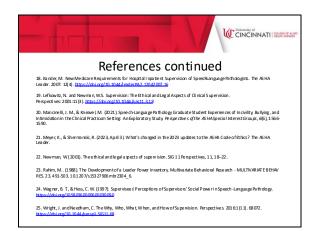
- Practicum commitment form
- Welcome letter / expectations
- Clinician info form

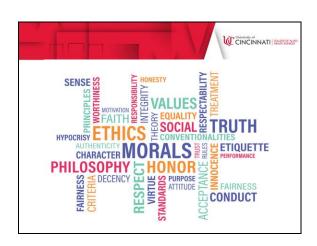


https://dxive.g.mgle.com/ftrive/folde.rs/128v.G42 wimE90E18vV5I.5.vsvrRUscrtv2usnesharing

	CINCINNATI   SOLIDIO OF AUGUST ASSESSED
	References
1	American Speech-Language Hearing Association. (2008). <i>Clinical supervision in speech-language pathology</i> (Technical Report).  Available from www.wacha.org/p.dloy.
2	American Speech-Langua ge-Hearing Association. (2023). Code of ethics [Ethics]. Available from www.acha.org/policy/
3.	American Speech-Langua ge-Hearing Association. (2008). Knowle dge and skills needed by speech-langua ge pathol ogists providing clinical supervision.
4	American Speech Language Hearing Association. (2013). Knowledge, Skills and Toilring Consider at on for Individual SS erving as Supervisors (Final report, Ad the Committee on Supervisors) Available from: https://www.shor.org/finas.exet/polosobid/files/paperisons/shorout/apps/skills/export.pdf
5.	American Speech Language He aing Association (2025). Medicare Coverage of Students and Clinical Fellows: Speechanguage Pathology, Available from https://www.acta.org/practica/raimbusement/medicare/student_participation_sip/fifortoota-1
6.	Ameri can Spee ch-Langua ge-Hea ring Association. (2008). Whow ledge and skills needed by spee chlanguage pathologists providing clinia I supervision [Knowledge and Skills]. Available from www.cacha.org/palloy.
7.	And erson, 1 (1988). The supervisory process in spee changua ge pathology and audiclogy. Boston, MA: College-Hill. American Speech-Langua ge-Hearing Association. (2008a).
8.	Beinart, H. & Clohessy. S. (2017). Effective supervisory relationships: Best evidence and practice. Hoboken, NJ: Wiley.
9.	O evinger, K., Albert, E., & Raiche, E. (2019). Supervisor self-disclosure: Supervises' perceptions of positive supervision experiences. Training and Education In Professional Psychology, 13(3), 222–226. https://doi.org/10.10.321.hep0000036







		University of CINCINNATI COLLEGE OF ALLES
	THANK YOU!	
g K	tacey Gerding erdinsm@ucmail.uc.edu rista Beyrer eyrerka@ucmail.uc.edu	